

29 January 2019



Re: Official Information Act Request for details of any issues-based audits or investigations into mental health

Thank you for your Official Information Act request dated 08 January 2019 seeking the following information under the Official Information Act from both Auckland and Waitemata DHB's.

- 1. Details of any issues-based audits or investigations into mental health services in your DHB area carried out, commissioned or provided to the DHB for the past four years (2015-2018).*
- 2. Please provide the name of the service and the year it was audited, a copy of the original complaint (or whatever sparked the investigation) a copy of the completed audit and any follow up reports.*

Please note that issues based audits are initiated for a variety of reasons, not necessarily as a consequence of a complaint. In respect of these particular audits, they were initiated for reasons other than complaints.

Once an audit is complete, the corrective actions are followed up by the DHB and Ministry of Health. There may be need for immediate action and this is determined on the basis of risk. Some corrective actions may be incorporated into subsequent routine audits and follow up processes.

Please refer to the table attached which details the issues based audits of Mental Health Services for Auckland and Waitemata DHB's.

Please note: one of these providers, Raukura, no longer holds a contract for these services with Waitemata DHB.

We trust that this information meets your requirements.

Yours sincerely



Dr Debbie Holdsworth
Director Funding
Waitemata and Auckland District Health Boards

Appendix 1: Summary of issues based audits within Auckland and Waitemata DHB's 2015-2018

Organisation	DHB contracting	Year of Audit	Instigating Issue	Audit report
Raukura Hauora O Tainui Trust	Waitemata DHB	2017	Ministry Of Health requested Audit and Compliance undertake a review of the trusts financial position following an audit undertaken in 2015	Solvency Review (attached)
Te Kotuku Ki Rangi Charitable Trust	Waitemata DHB	2016	Requested by the DHB following ongoing contract monitoring discussions	Financial and Claims Audit Withheld on the basis that releasing the information contained in the audit report is: (a) likely to prejudice Waitemata DHB's ability to carry out commercial activities without prejudice or disadvantage and is therefore withheld under 1982 s9(2)(i) of the Official Information Act and (b) releasing the information is likely to prejudice Waitemata DHB's ability to carry on negotiations with Te Kotuku Ki Rangi without prejudice or disadvantage and is therefore withheld under s9(2)(j) of the Official Information Act